

SYSTEMATIC WITHDRAWAL PLAN (SWP)



Date

ARN Code Sub-Broker Code Sub-Broker / ARN Code Employee Unique Identification Number (EUIIN)

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

First/Sole Applicant/ Second Applicant Third Applicant Power of Attorney Holder

INVESTOR'S INFORMATION

Mr / Mrs

Existing Folio Number

PAN Enclosed PAN Proof KYC Acknowledgment letter

CKYC

E-mail ID

SWP DETAILS # (To be submitted atleast 15 days before 1st due date. Please (✓) the appropriate option)

SWP From Scheme

Plan Regular Direct

Option Growth Dividend Reinvestment

Fixed Amount ₹ in figures ₹ in words

Capital Appreciation **Withdrawal Frequency** Monthly (minimum 6) Quarterly (minimum 4)

Withdrawal Period From To **SWP Date*** 1st (fixed Amount) 15th (Capital Appreciation)

*In the event that such a day is a holiday, the withdrawal would be affected on the next business day.

DECLARATION AND SIGNATURES

Having read and understood the content of the SID / SAI of the scheme, I/we hereby apply for units of the scheme. I have read and understood the terms, conditions, details, rules and regulations governing of scheme. I/We hereby declare that the amount invested in the scheme is through legitimate source only and does not involve designed for the purpose of contravention of any Act, rules, Regulations, Notifications or Directives of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belongs to me/us. In event "Know Your Customer" process is not completed by me/us to the satisfaction of the Mutual Fund, (I/We hereby authorize the Mutual Fund, to redeem the funds invested in the Scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law.) The ARN holder has disclosed to me/us all the commissions (trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds amongst which the Scheme is being recommended to me/us.

First / Sole Applicant / Guardian Second Applicant Third Applicant POA Holder

To be signed by All Applicants if mode of operation is "Joint"

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First / Sole Applicant / Guardian Second Applicant Third Applicant POA Holder

To be signed by All Applicants if mode of operation is "Joint"

Terms and Conditions

- The SWP enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box, where boxes have been provided. The SWP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of LIC Mutual Fund.
- One SWP Enrolment Form can be filled for one Scheme/Plan/ Option only
- Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of LIC Mutual Fund, brokers/distributors and also displayed at the LIC Mutual Fund website i.e. www.licmf.com
- Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number
- The SWP Facility is available only for units held / to be held in Non - demat Mode.
- In respect of amount withdrawn under SWAP, the Exit Load, if any, applicable to the Scheme/Plan as on the date of allotment of units shall be levied.
- The provision for 'Minimum Redemption Amount' specified in the respective Scheme Information Document will not be applicable for SWAP.
- The Unit holder should submit the duly filled in SWAP Enrolment Form at least 10 days & maximum 30 days before the first withdrawal date.
- The amount withdrawn under SWAP by Redemption shall be converted into the specific Scheme/Plan Units at the NAV based prices as on the SWAP withdrawal date and such Units will be subtracted from the Unit balance of the Unit holders. In case these dates fall on a holiday or fall during a Book Closure period, the next Business Day will be considered for this purpose.
- There are two options available under SWP a) Fixed Amount b) Automatic withdrawal of capital appreciation
(a) Under the Fixed amount- investor can choose monthly or quarterly option and 1st as a SWP date. If you decide to opt for this facility, you should be aware of the possibility that you could erode your capital.
(b) Under Capital Appreciation.- investor can choose monthly or quarterly option and 15th as a SWP date.
- Registration of SWP- Registration can happen in 10 calendar days and & maximum 30 days before the first withdrawal date
- SWAP facility may be terminated on receipt of a written notice from the Unit holder. Notice of such discontinuation should be received at least 10 days prior to the due date of the next withdrawal. SWP will terminate automatically if all Units are liquidated or withdrawn from the folio or pledged or upon receipt of notification of death of the first named Unit holder. OR after 3 consecutive unsuccessful transactions.
- SWP facility is currently available in the below mentioned schemes in all plans and options except dividend payout.

Schem - LIC MF Bond Fund, LIC MF Banking and Financial, LIC MF Balanced Fund, LIC MF Child Fund, LIC MF Equity Fund, LIC MF Growth Fund, LIC MF Index Fund-Sensex, LIC MF Index Fund- Nifty, LIC MF Infrastructure Fund, LIC MF Liquid Fund, LIC MF Income Plus, LIC MF Mid Cap, LIC MF Monthly Income Plan, LIC MF Saving Plus.

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